

**TAX STATUS DECLARATION FORM –
ENTITIES**
SAVE FORM
PRINT FORM
Tax Residency Information

All entities are now required to provide their country/ies of tax residency (including Australian tax residency) and promptly advise Avanteos Investments Limited (AIL) and Colonial First State Investments (CFSIL) if these details change. Tax residency information provided may be reported to the relevant tax authorities.

What do I need to complete?

You need to complete all sections of this document.

1 ACCOUNT DETAILS

Account number

2 ENTITY DETAILS
2.1 GENERAL INFORMATION

Full name

ACN or other registration number

Registered address or principal place of business (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

3 TAX INFORMATION
3.1 TAX STATUS

Tick one of the Tax Status boxes below (if the entity is a Financial Institution, please provide all the requested information below)

A Financial Institution (A custodial or depository institution, an investment entity or a specified insurance company for FATCA/CRS purposes)

Provide the entity's Global Intermediary Identification Number (GIIN), if applicable

If the entity is a Financial Institution but does not have a GIIN, provide its FATCA status (select ONE of the following statuses)

Deemed Compliant Financial Institution

Excepted Financial Institution

Exempt Beneficial Owner

Non Reporting IGA Financial Institution

(If the Entity is a Trustee-Documented Trust, provide the Trustee's GIIN)

Nonparticipating Financial Institution

US Financial Institution

Other (describe the FATCA status in the box provided)

PLEASE ANSWER THE QUESTION BELOW FOR ALL FINANCIAL INSTITUTIONS

Is the Financial Institution an Investment Entity located in a Non-Participating CRS Jurisdiction and managed by another Financial Institution?

Yes No

If Yes, proceed to section 3.2 (Foreign Controlling Persons). If No, Please go to section 4 to complete the form.

CRS Participating Jurisdictions are on the OECD website at <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/crs-by-jurisdiction>.

A Public Listed Company, Majority Owned Subsidiary of a Public Listed Company, Governmental Entity, International Organisation, Central Bank, an Australian Registered Charity or Deceased Estate

If the entity type is listed above, please proceed to section 4 to complete the form.

A Foreign Charity or an Active Non-Financial Entity (NFE) (Active NFEs include entities where, during the previous reporting period, less than 50% of their gross income was passive income (eg dividends, interests and royalties) and less than 50% of assets held produced passive income. For other types of Active NFEs, refer to Section VIII in the Annexure of the OECD 'Standard for Automatic Exchange of Financial Account Information' at www.oecd.org.)

If the entity is a Foreign Charity or an Active NFE, please proceed to section 3.3 (Country of Tax Residency).

Other (Entities that are not previously listed – Passive Non-Financial Entities)

Please proceed to section 3.2 (Foreign Controlling Persons).

3.2 TAX STATUS

Does the entity have any Controlling Persons* who are tax residents of countries other than Australia? Yes No

* A Controlling Person is any individual who directly or indirectly exercises control over the entity. For a company, this includes any beneficial owners controlling more than 25% of the shares in the company. For a Trust, this includes Trustees, Settlers or Beneficiaries. For a partnership this includes any partners.

Tax Residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

If Yes, please provide the details of these individuals below and complete a separate Individual Identification Form for each Controlling Person (unless already provided as Beneficial Owner).

Full given name(s)	Surname	Role (such as Director or Senior Managing Official)
<input type="text"/>	<input type="text"/>	<input type="text"/>

If there are more Controlling Persons, provide details on a separate sheet and tick this box.

Proceed to section 3.3.

3.3 COUNTRY OF TAX RESIDENCY

Is the entity a tax resident of a country other than Australia? Yes No

If Yes, please provide the entities' country of tax residence and tax identification number (TIN) or equivalent below. If the entity is a tax resident of more than one other country, please list all relevant countries below.

If No, please proceed to section 3 to complete the form.

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or an Employer Identification Number in the US. If a TIN is not provided, please list one of the three codes specified A,B or C.

1. Country	<input type="text"/>	TIN	<input type="text"/>	Code	<input type="text"/>
2. Country	<input type="text"/>	TIN	<input type="text"/>	Code	<input type="text"/>
3. Country	<input type="text"/>	TIN	<input type="text"/>	Code	<input type="text"/>

If there are more countries, provide details on a separate sheet and tick this box.

Code A The country of tax residency does not issues TINs to tax residents

Code B The entity has not been issued with a TIN

Code C The country of tax residency does not require the TIN to be disclosed

4 DECLARATION**IMPORTANT NOTE:**

→ Complete the Declaration section below (either you or your financial planner to sign)

I/We declare that:

- The answers to all questions, declarations and all information supplied by me/us or on my/our behalf is true and correct (including those not in my/our own handwriting)
- I/We will promptly advise AIL and CFSIL if it changes
- I/We are authorised by, and have consent of, the entity and any beneficial owners to provide the information
- The entity and any beneficial owners are aware that information about them and their accounts may be provided to tax authorities.

ENTITY DECLARATION (To be completed by an authorised representative of the entity, such as a Director or Trustee)

Representative name

Capacity (Company Director, Trustee, etc.)

Signature

Date

OR

FINANCIAL PLANNER DECLARATION

I certify that an authorised representative of the entity has verbally or in writing confirmed to me the truth of the information provided and I have no reason to doubt its reasonableness.

AFS Licensee name

AFSL number

Representative/Employee name

Phone number

Signature

Date

Avanteos Investments Limited ABN 20 096 259 979, AFSL 245531 (AIL) is the trustee of the Colonial First State FirstChoice Superannuation Trust ABN 26 458 298 557 and issuer of FirstChoice range of super and pension products. Colonial First State Investments Limited ABN 98 002 348 352, AFSL 232468 (CFSIL) is the responsible entity and issuer of products made available under FirstChoice Investments and FirstChoice Wholesale Investments. This document may include general advice but does not take into account your individual objectives, financial situation, needs or tax circumstances. The Target Market Determinations (TMD) for our financial products can be found at www.cfs.com.au/tmd, which include a description of who a financial product might suit. You should read the relevant Product Disclosure Statement (PDS) and Financial Services Guide (FSG) carefully, assess whether the information is appropriate for you, and consider talking to a financial adviser before making an investment decision. You can get the PDS and FSG at www.cfs.com.au or by calling us on 13 13 36. Tax considerations are general and based on present tax laws and may be subject to change. You should seek independent, professional tax advice before making any decision based on this information. AIL and CFSIL are not registered tax (financial) advisers under the Tax Agent Services Act 2009 and you should seek tax advice from a registered tax agent or a registered tax (financial) adviser if you intend to rely on this information to satisfy the liabilities or obligations or claim entitlements that arise under a tax law.